



Walter Savitch

# Recursion

## Chapter 11

# Objectives

- Describe the concept of recursion
- Use recursion as a programming tool
- Describe and use recursive form of binary search algorithm
- Describe and use merge sort algorithm

# Basics of Recursion: Outline

- Basics of Recursion
- Case Study: Digits to Words
- How Recursion Works
- Infinite Recursion
- Recursive versus Iterative Methods
- Recursive Methods that Return a Value

# Basics of Recursion

- A recursive algorithm will have one subtask that is a small version of the entire algorithm's task
- A recursive algorithm contains an invocation of itself
- Must be defined correctly else algorithm could call itself forever or not at all



# Simple Example - Countdown

- Given an integer value *num* output all the numbers from *num* down to 1
- Can do this easier and faster with a loop; the recursive version is an example only
- First handle the simplest case; the **base case** or stopping condition

```
public static void countDown(int num)
{
    if (num <= 0)
    {
        System.out.println();
    }
}
```

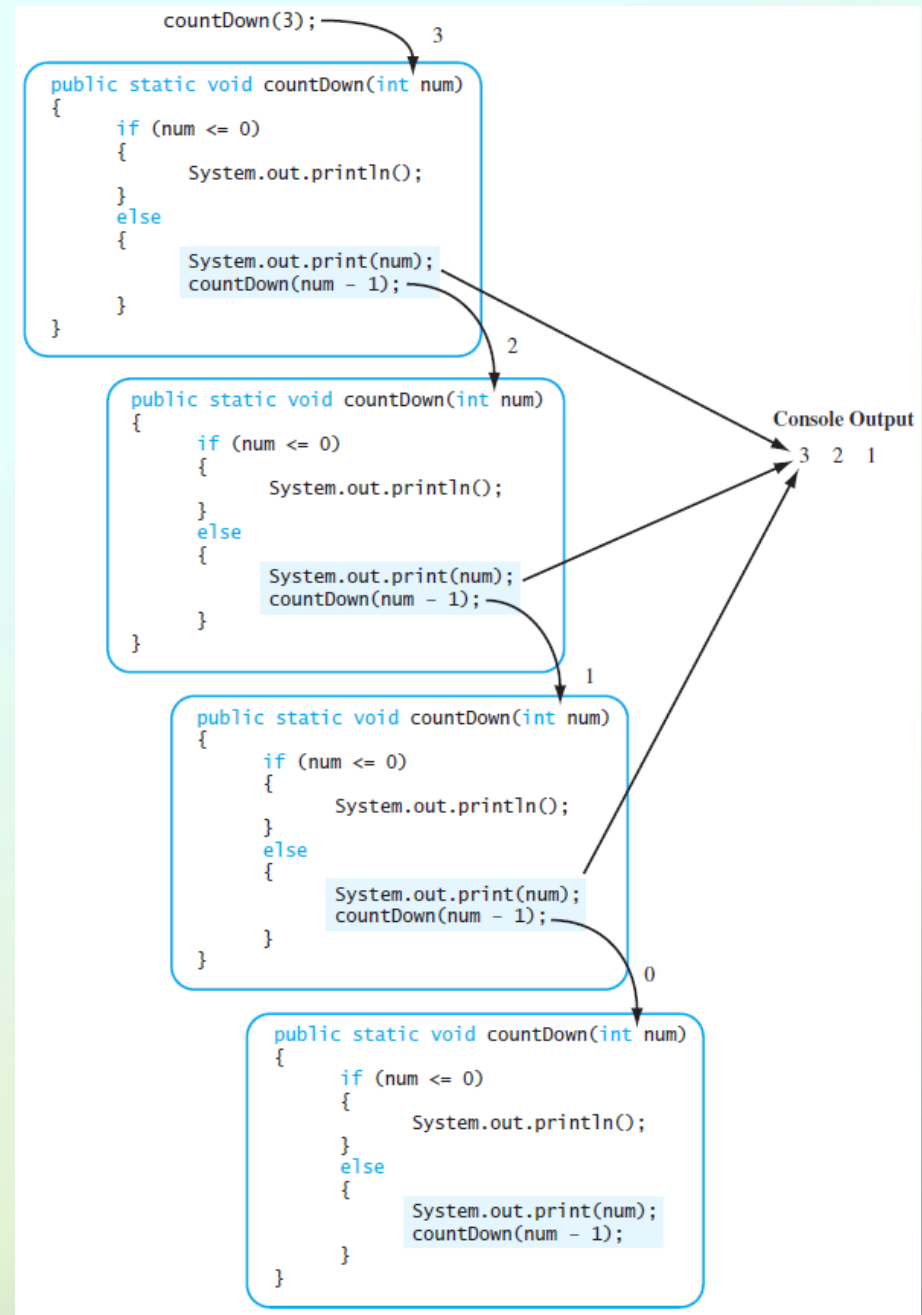
# Recursive Countdown

- Next handle larger cases; phrase solution in terms of a smaller version of the same problem
- `countDown(3)` is to output 3 then output the result of `countDown(2)`

View [demonstration](#), listing 11.1  
`class RecursionCountdown`

# Sequence of Calls

`countDown(3)`



# Case Study

- Digits to Words – consider a method which receives an integer parameter
  - Then it prints the digits of the number as words
- Heading

```
/**  
    Precondition: number >= 0  
    Displays the digits in number as words.  
*/  
public static void displayAsWords(int number)
```



# Case Study

- Consider this useful private method

```
// Precondition: 0 <= digit <= 9  
// Returns the word for the argument digit.  
private static String getWordFromDigit(int digit)
```

# Case Study

- If number has multiple digits, decompose algorithm into two subtasks
  1. Display all digits but the last as words
  2. Display last digit as a word
- First subtask is smaller version of original problem
  - Same as original task, one less digit

# Case Study

- Algorithm for

`displayAsWords (number)`

1. `displayAsWords` (number after deleting last digits)

2. `System.out.print`

`(getWordFromDigit(last digit of number + " "))`

# Case Study

- View [demonstration](#), listing 11.2  
`class RecursionDemo`

Sample  
screen  
output

```
Enter an integer:
987
The digits in that number are:
nine eight seven
If you add ten to that number,
the digits in the new number are:
nine nine seven
```



# How Recursion Works

- Figure 11.2a Executing recursive call

`displayAsWords(987)` is equivalent to executing:

```
{//Code for invocation of displayAsWords(987)
  if (987 < 10)
    System.out.print(getWordFromDigit(987) + " ");
  else //987 has two or more digits
  {
    displayAsWords(987 / 10);
    System.out.print(getWordFromDigit(987 % 10) + " ");
  }
}
```

*Computation waits here for the completion of the recursive call.*

# How Recursion Works

- Figure 11.2b Executing recursive call

`displayAsWords(987/10)` is equivalent to `displayAsWords(98)`, which is equivalent to executing:

```
{//Code for invocation of displayAsWords(98)
  if (98 < 10)
    System.out.print(getWordFromDigit(98) + " ");
  else //98 has two or more digits
  {
    displayAsWords(98 / 10);
    System.out.print(getWordFromDigit(98 % 10) + " ");
  }
}
```

*Computation waits here for the completion of the recursive call.*

# How Recursion Works

- Figure 11.2c Executing recursive call

`displayAsWords(98/10)` is equivalent to `displayAsWords(9)`, which is equivalent to executing:

```
{//Code for invocation of displayAsWords(9)
  if (9 < 10)
    System.out.print(getWordFromDigit(9) + " ");
  else //9 has two or more digits
  {
    displayAsWords(9 / 10);
    System.out.print(getWordFromDigit(9 % 10) + " ");
  }
}
```

*Another recursive call  
does not occur.*

# Keys to Successful Recursion

- Must have a branching statement that leads to different cases
- One or more of the branches should have a recursive call of the method
  - Recursive call must use "smaller" version of the original argument
- One or more branches must include *no* recursive call
  - This is the base or stopping case



# Infinite Recursion

- Suppose we leave out the stopping case

```
public static void displayAsWords(int number)//Not quite right
{
    displayAsWords(number / 10);
    System.out.print(getWordFromDigit(number % 10) + " ");
}
```

- Nothing stops the method from repeatedly invoking itself
  - Program will eventually crash when computer exhausts its resources (stack overflow)

# Recursive Versus Iterative

- Any method including a recursive call can be rewritten
  - To do the same task
  - Done *without* recursion
- Non recursive algorithm uses *iteration*
  - Method which implements is *iterative method*
- Note iterative version of program, listing 11.3  
**class IterativeDemo**

# Recursive Versus Iterative

- Recursive method
  - Uses more storage space than iterative version
  - Due to overhead during runtime
  - Also runs slower
- However in *some* programming tasks, recursion is a better choice, a more elegant solution

# Recursive Methods that Return a Value

- Follow same design guidelines as stated previously
- Second guideline also states
  - One or more branches includes recursive invocation *that leads to the returned value*
- View [program](#) with recursive value returning method, listing 11.4  
**class RecursionDemo2**



# Recursive Methods that Return a Value

Enter a nonnegative number:

2008

2008 contains 2 zeros.

Sample  
screen  
output

- Note recursive method **NumberOfZeros**
  - Has two recursive calls
  - Each returns value assigned to **result**
  - Variable **result** is what is returned

# Programming with Recursion: Outline

- Programming Example: Insisting that User Input Be Correct
- Case Study: Binary Search
- Programming Example: Merge Sort – A Recursive Sorting Method

# Programming Example

- Insisting that user input be correct
  - Program asks for a input in specific range
  - Recursive method makes sure of this range
  - Method recursively invokes itself as many times as user gives incorrect input
    - Dangerous technique – can result in stack overflow if invalid entries entered repeatedly
- View [program](#), listing 11.5  
**class Countdown**

# Programming Example

Sample  
screen  
output

Enter a positive integer:

0

Input must be positive.

Try again.

Enter a positive integer:

3

Counting down:

3, 2, 1, 0, Blast Off!



# Case Study

- Binary Search
  - We design a recursive method to tell whether or not a given number is in an array
  - Algorithm assumes array is sorted
- First we look in the middle of the array
  - Then look in first half or last half, depending on value found in middle

# Binary Search

- Draft 1 of algorithm

```
1. m = an index between 0 and (a.length - 1)
2. if (target == a[m])
3.     return m;
4. else if (target < a[m])
5.     return the result of searching a[0] through a[m - 1]
6. else if (target > a[m])
7.     return the result of searching a[m + 1] through a[a.length - 1]
```

- Algorithm requires additional parameters

# Binary Search

- Draft 2 of algorithm to search `a[first]` through `a[last]`

```
1. mid = approximate midpoint between first and last
2. if (target == a[mid])
3.     return mid
4. else if (target < a[mid])
5.     return the result of searching a[first] through a[mid - 1]
6. else if (target > a[mid])
7.     return the result of searching a[mid + 1] through a[last]
```

- What if target is not in the array?

# Binary Search

- Final draft of algorithm to search `a[first]` through `a[last]` to find `target`

```
1. mid = approximate midpoint between first and last
2. if (first > last)
3.     return -1
4. else if (target == a[mid])
5.     return mid
6. else if (target < a[mid])
7.     return the result of searching a[first] through a[mid - 1]
8. else if (target > a[mid])
9.     return the result of searching a[mid + 1] through a[last]
```

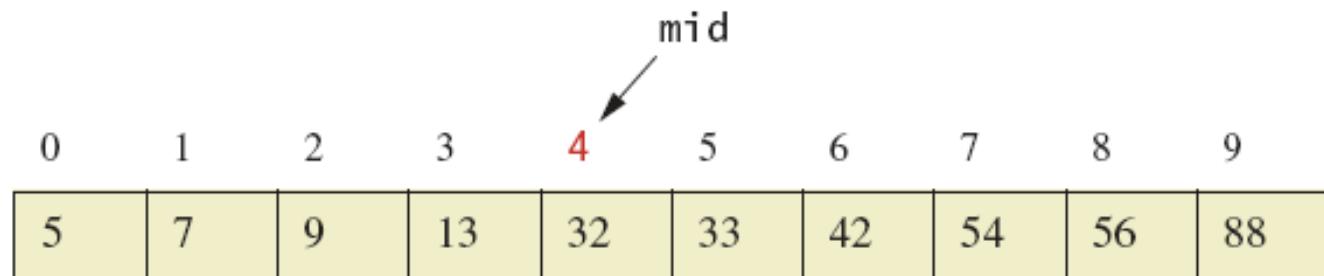


# Binary Search

- Figure 11.3a Binary search example

target is 33

*Eliminate half of the array elements:*



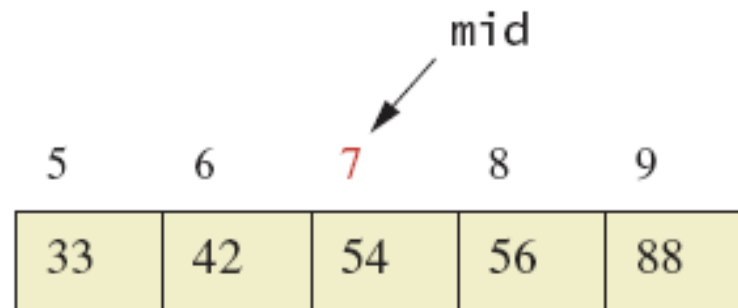
0	1	2	3	4	5	6	7	8	9
5	7	9	13	32	33	42	54	56	88

1.  $mid = (0 + 9)/2$  (which is 4).
2.  $33 > a[mid]$  (that is,  $33 > a[4]$ ).
3. So if 33 is in the array, 33 is one of  $a[5], a[6], a[7], a[8], a[9]$ .

# Binary Search

- Figure 11.3b Binary search example

*Eliminate half of the remaining array elements:*



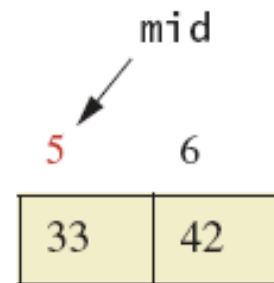
5	6	7	8	9
33	42	54	56	88

1.  $\text{mid} = (5 + 9)/2$  (which is 7).
2.  $33 < a[\text{mid}]$  (that is,  $33 < a[7]$ ).
3. So if 33 is in the array, 33 is one of  $a[5]$ ,  $a[6]$ .

# Binary Search

- Figure 11.3c Binary search example

*Eliminate half of the remaining array elements:*



1.  $mid = (5 + 6) / 2$  (which is 5).
2. 33 equals  $a[mid]$ , so we found 33 at index 5.

*33 found in  $a[5]$ .*

# Binary Search

- View [final code](#), listing 11.6  
**class ArraySearcher**
- Note [demo program](#), listing 11.7  
**class ArraySearcherDemo**



# Binary Search

Enter 10 integers in increasing order.

Again?

yes

Enter a value to search for:

0

0 is at index 0

Again?

yes

Enter a value to search for:

2

2 is at index 1

Again?

yes

Enter a value to search for:

13

13 is not in the array.

Again?

no

May you find what you're searching for.

Sample  
screen  
output

# Programming Example

- Merge sort – A recursive sorting method
- A divide-and-conquer algorithm
  - Array to be sorted is divided in half
  - The two halves are sorted by recursive calls
  - This produces two smaller, sorted arrays which are merged to a single sorted array

# Merge Sort

- Algorithm to sort array `a`

1. If the array `a` has only one element, do nothing (base case).  
Otherwise, do the following (recursive case):
2. Copy the first half of the elements in `a` to a smaller array named `firstHalf`.
3. Copy the rest of the elements in the array `a` to another smaller array named `lastHalf`.
4. Sort the array `firstHalf` using a recursive call.
5. Sort the array `lastHalf` using a recursive call.
6. Merge the elements in the arrays `firstHalf` and `lastHalf` into the array `a`.

- View [Java implementation](#), listing 11.8  
`class MergeSort`

# Merge Sort

- View [demo program](#), listing 11.9  
`class MergeSortDemo`

Array values before sorting:

7 5 11 2 16 4 18 14 12 30

Array values after sorting:

2 4 5 7 11 12 14 16 18 30

Sample  
screen  
output



# Summary

- Method with self invocation
  - Invocation considered a recursive call
- Recursive calls
  - Legal in Java
  - Can make some method definitions clearer
- Algorithm with one subtask that is smaller version of entire task
  - Algorithm is a recursive method

# Summary

- To avoid infinite recursion recursive method should contain two kinds of cases
  - A recursive call
  - A base (stopping) case with no recursive call
- Good examples of recursive algorithms
  - Binary search algorithm
  - Merge sort algorithm